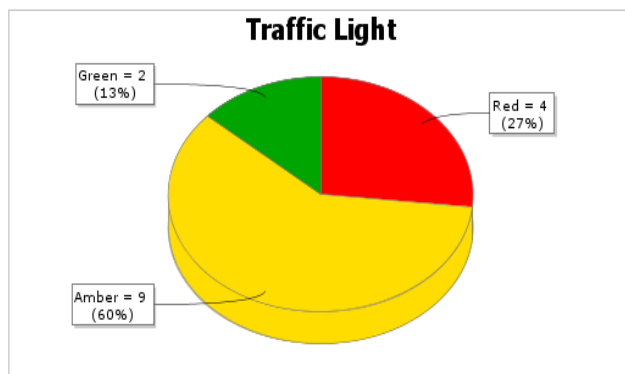








Corporate and Strategic Risk Register 2012-13 - Quarter 2



Report Type: Risks Report
Report Author: Admin Admin
Generated on: 22 October 2012








Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
12-CR-ENV-01 Affordable Housing	Failure to find a balance between the delivery of affordable housing, in order to meet the need for accommodation, and sourcing sites acceptable to the community	3	3	3	3	9		2	2	Adherence to the Core Strategy timetable coupled with consistent communication and community engagement. Strong political leadership to ensure adoption of Local Development Framework	Actions identified in the Housing Business Plan including opportunities for LA new build	Roger Harborough


Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
12-CR-ENV-02 Risk of increased recycling costs	Risk of increased costs due to fluctuating pricing structure for recyclable materials and increasing cost of landfill.	3	3	3	2	6		3	1	Explore option of arranging own bulk transport between transfer station and MRF if ECC recharges for transport are unfavourable	The market for recyclables has weakened significantly since the contract was let. This underlines the importance of the contract.	Roger Harborough
12-CR-ENV-03 Lack of public engagement in recycling scheme	Public fails to engage in new recycling scheme resulting in recycling rate not increasing.	3	2	3	2	6		3	1	Continued communication with residents about the importance of recycling to further increase the district's recycling rate. Introduction of waste service improvements including garden waste collection	New collection arrangements being bedded in before we seek to increase volumes	Roger Harborough
12-CR-ENV-04 Potential increase in environmental crime	Potential for more cases of environmental crime leading to increased pressures on enforcement and other service areas and possible complaints	3	2	3	2	6		2	2	Training for Enforcement officers and closer working with PCSO's leading to an increase in the number of fixed penalty notices issued	To date no patrols carried out with Police / PCSOs due to unavailability of one or both parties. However FPNs are still being issued for littering and fly posting when the individual or company can be	Michael Perry

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
											identified without assistance of Police or PCSO	
12-CR-ENV-05 Lack of available funds for Highways improvement	Little money available for Highways improvements due to pressures on County Council budget	3	3	3	2	6		2	3	Targeted improvements in district due to local member involvement in Highways Panel/Locality Board	ECC Local Highways Improvement capital programme has been agreed by the Panel	Roger Harborough
12-CR-ENV-06 Inability to deliver HRA Business Plan	Government reforms or changes in income/ expenditure from assumed levels impair the Council's capacity to deliver the intended outcomes of the HRA business plan, such as new build and stock enhancements	3	3	3	2	6		2	2	Regular review of HRA budget and business plan by Housing Board. Ensuring that servicing debt and RTB requirements are prioritised. Develop plans to use available headroom	LA new build programme progressing together with other schemes for improvement of the stock	Roger Harborough
12-CR-FIN 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in	4	1	4	1	4		4	1	A Corporate Team was established in 2010. Savings achieved to date have been approx £1.8 million per annum	IDOX system has been implemented, as have changes to the waste service, realising an estimated further £1.1m	Adrian Webb

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
	the MTFS to achieve the necessary savings.										in savings. Corporate Team membership has been refreshed and new areas of work include installation of combined HR and Payroll system	
12-CR-FIN-02 External factors impact negatively on Council's finances	External factors, such as the reforms to local government finance, negatively impact on Council's finances	3	3	3	2	6		2	2	Work with local government partners to share the risks and benefits of reform	Provisional information published by Government during the Summer would indicate a reduced probability of serious adverse effects upon UDC. Uncertainty remains until the Settlement is published in December	Stephen Joyce
12-CR-PAR-01 Key partners unable to contribute to LSP	Key partners are unable to contribute to the LSP because of changes elsewhere in the public sector. The concept of the Big Society may be difficult to	2	3	2	1	2		2	2	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure	The new groups are becoming established	John Mitchell

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
	communicate									continued engagement with partners and the community through channels such as Citizens Panel, Community Forums and Tenant Forum. Review the working of the LSP to ensure it meets the needs of the council, its partners and the community.		
12-CR-PEO-01 Failure to embed Equality & Diversity and H&S	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to then promote these ideals to the community	3	2	3	2	6		3	1	Necessary information available to all staff and regular training given	Further training is about to commence for managers	John Mitchell
12-CR-PEO-02 Failure to provide and implement a sound economic	Failure to provide and implement a sound economic strategy	3	2	2	3	6		2	2	Develop and implement a sound economic strategy in conjunction with West Essex	Report to Cabinet 25th October 2012	Roger Harborough

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
strategy	which could lead to a failure to support existing businesses and attract new investment									partners and allocate budget to support this work		
12-CR-PEO-03 Risk of adverse impact from reform of council tax benefits	The reform of council tax benefits could adversely impact some people currently in receipt of benefits.	3	2	3	4	12		2	4	Work with JobCentre Plus to promote change to system and maximise employment opportunities. Work with other Essex Councils to develop a single County-wide scheme to give continuity to claimants moving within the County.	There is no doubt that non-vulnerable working age CTB claimants will be adversely impacted. There are financial risks to the Council as detailed in Cabinet reports	Stephen Joyce
12-CR-PEO-04 Adverse impact from other welfare reforms	Reforms to Housing Benefit and other welfare changes cause hardship to some people and operational difficulties for the Council.	3	4	3	4	12		2	4	Close liaison with partner agencies including CAB. Clear and proactive communications with affected people. Resource planning	Work is in progress to assess impacts of some HB changes. LCTS is the immediate priority	Roger Harborough; Stephen Joyce
12-SR-01 Disruption of Council	Disruption of council business e.g.	4	2	4	2	8		3	2	Ensure that emergency plans are in	Corporate Business Continuity plan	Michael Perry

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
business	due to loss of building, widespread staff absence or extreme weather conditions									place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents. Ensure relevant HR policies are in place and understood	90% complete, some updating still in progress following review of the draft plan. Should be complete by November 2012.	
12-SR-02 Risk of major emergency	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	1	2	1	2		2	1	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	Emergency Plan review has become a complete overhaul which is now including input and partnership working with both Harlow and Epping DC's for generic Mutual Aid and due to re organisation for Essex County Emergency Plans and other services too, the review is slower than expected whilst procedures and	Michael Perry

Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Mitigating Actions	Latest Note	Managed By
											capabilities are checked and confirmed. Plan should be complete in Draft form Mid November 2012	